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Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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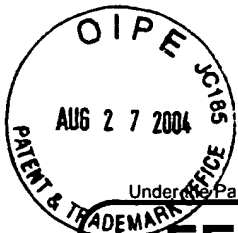
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|---|------------------------|-------------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/763,299 |
| | Filing Date | January 22, 2004 |
| | First Named Inventor | Jennifer K. Fredrickson |
| | Group Art Unit | 1615 |
| | Examiner Name | Unknown |
| Total Number of Pages in This Submission | Attorney Docket Number | PC26991A |

| ENCLOSURES (check all that apply) | | |
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| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
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| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|-----------------------------|
| Firm or Individual name | Karen B. King Reg. # 41,898 |
| Signature | |
| Date | 8/23/04 |

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PTO/SB/17 (01-03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

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|----------------------|-------------------------|
| Application Number | 10/763,299 |
| Filing Date | January 22, 2004 |
| First Named Inventor | Jennifer K. Fredrickson |
| Examiner Name | Unknown |
| Art Unit | 1615 |
| Attorney Docket No. | PC26991A |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

23-0455

Warner-Lambert Company

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☒ Charge fee(s) indicated below ☒ Credit any overpayments
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FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 750 | 2001 | 375 | Utility filing fee | |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) 0.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = x =
Independent Claims -3** = x =
Multiple Dependent =

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | Design issue fee | |
| 1503 | 630 | 2503 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

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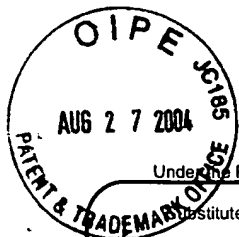
(Complete if applicable)

| | | | | | |
|-------------------|---------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Karen B. King | Registration No. (Attorney/Agent) | 41,898 | Telephone | 734-622-4837 |
| Signature | | Date | 8/23/04 | | |

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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| | |
|------------------------|------------------|
| Application Number | 10/763,299 |
| Filing Date | January 22, 2004 |
| First Named Inventor | J.K. Fredrickson |
| Art Unit | 1615 |
| Examiner Name | Unknown |
| Attorney Docket Number | PC26991A |

Sheet 1 of 4

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 4,656,027 | 04-07-1987 | Sjoovist | |
| | | US- 4,788,220 | 11-29-1988 | Mody, et al | |
| | | US- 4,994,260 | 02-19-1991 | Kallstrand, et al | |
| | | US- 5,008,117 | 04-16-1991 | Calanchi, et al | |
| | | US- 5,085,868 | 02-04-1992 | Mattsson, et al | |
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| | | US- 5,272,137 | 12-21-1993 | Blase, et al | |
| | | US- 5,306,506 | 04-26-1994 | Zema, et al | |
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| | | US- 5,627,181 | 05-06-1997 | Riedl, et al | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | EP | 717,992 A2 | 06-26-1996 | Ratnaraj, et al | | |
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| | WO | 98/17250 | 04-30-1998 | | | |
| | WO | 99/63937 | 12-16-1999 | Griffin, et al | | |
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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| Application Number | 10/763,299 |
| Filing Date | January 22, 2004 |
| First Named Inventor | J.K. Fredrickson |
| Art Unit | 1615 |
| Examiner Name | Unknown |
| Attorney Docket Number | PC26991A |

Sheet 2 of 4

U. S. PATENT DOCUMENTS

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|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 6,197,348 | 03-06-2001 | Catania, et al | |
| | | US- 6,197,348 B1 | 03-06-2001 | Morella, et al | |
| | | US- 6,239,152 B1 | 05-29-2001 | Gordeev, et al | |
| | | US- 6,261,602 B1 | 07-17-2001 | Calanchi, et al | |
| | | US- 5,633,006 | 05-27-1997 | Catania, et al | |
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|--------------------|-----------------------|---|--------------------------------|--|--|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | WO | 01/52848 A2 | 07-26-2001 | Percel, et al | | |
| | WO | 02/072102 A1 | 09-19-2002 | Ulrich, et al | | |
| | WO | 02/09666 A1 | 02-07-2002 | Keating, et al | | |
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| | | Application Number | 10/763,299 | | |
| | | Filing Date | January 22, 2004 | | |
| | | First Named Inventor | J.K. Fredrickson | | |
| | | Group Art Unit | 1615 | | |
| | | Examiner Name | Unknown | | |
| | | Attorney Docket Number | PC26991A | | |
| Sheet | 3 | of | 4 | | |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
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| | | "Buoyancy". http://hyperphysics.phy-astr.gsu.edu/hbase/pbuoy.html downloaded on: December 13, 2002 | |
| | | Dell, Sheila M. et al. "Avicel RC/CL, Microcrystalline Cellulose and Carboxymethylcellulose Sodium, NF, BP". FMC BioPolymer Section 14, 2001 pp. 1-27 | |
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| | | Reo, Joseph P. & Jennifer Fredrickson., "Taste Masking science and Technology Applied to Compacted Oral Solid Dosage Part 1". American Pharmaceutical Review, Spring 2002. www.americanpharmaceuticalreview.com/static_part_issues/1_APR_Spring_2002 | |
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| | | Reo, Joseph P. & Jennifer Fredrickson., "Taste Masking science and Technology Applied to Compacted Oral Solid Dosage Part 3". American Pharmaceutical Review, Spring 2002. www.americanpharmaceuticalreview.com/static_part_issues/4_APR_Winter_2002 | |
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| Examiner Signature | | Date Considered | |
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| | | Application Number | 10/763,299 | | |
| | | Filing Date | August 20, 2004 | | |
| | | First Named Inventor | Jennifer K. Fredrickson | | |
| | | Group Art Unit | 1615 | | |
| | | Examiner Name | Unknown | | |
| | | Attorney Docket Number | PC26991A | | |
| Sheet | 4 | of | 4 | | |

| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS | | | |
|--|-----------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | Timmermans, Jacques., et al. "Factors Controlling the Buoyancy and Gastric Retention Capabilities of Floating Matrix Capsules: New Data for Reconsidering the Controversy". Journal of Pharmaceutical Sciences, Vol. 89, No.1, January 1994, pp. 18-24 | |
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